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| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE<br>(REV. 10-95)  |   | ATTORNEY'S DOCKET NUMBER<br>J3679(C)                                     |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. § 371   |   | U.S. APPLICATION NO.<br>(If known, see 37 CFR § 1.5)<br><b>10/520891</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/EP03/06898  | INTERNATIONAL FILING DATE<br>26 JUNE 2003 | PRIORITY DATE CLAIMED<br>10 JULY 2002                                    |
| TITLE OF INVENTION<br><br>HAIR TREATMENT COMPOSITIONS COMPRISING HYDROXY COMPOUNDS   |   |  |
| APPLICANT(S) FOR DO/EO/US<br><br>CORNWELL, PAUL ALFRED ET AL.  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or unexecuted declaration of the inventor(s) (35 U.S.C. §371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).</li> </ol> |   |  |
| Items 11. To 16. Below concern document(s) or information included:  |   |  |
| <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR § 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>  |   |  |

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| U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/520891</b> | INTERNATIONAL APPLICATION NO.<br>PCT/EP03/06898 | ATTORNEY'S DOCKET NUMBER<br>J3679(C) |
|---|---|--------------------------------------|

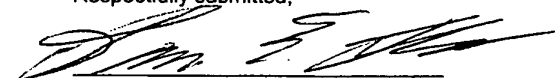
|   |              |   |            |                       |  |
|---|--------------|---|------------|-----------------------|--|
| 21. <input checked="" type="checkbox"/> The following fees are submitted:   |              |   |            |                       |  |
| <input checked="" type="checkbox"/> a) Basic National Fee ..... \$300.00  |              |   |            |                       |  |
| <input checked="" type="checkbox"/> b) Examination Fee ..... \$200.00   |              |   |            |                       |  |
| <input checked="" type="checkbox"/> c) Search Fee ..... \$500.00  |              |   |            |                       |  |
| <b>TOTAL OF ABOVE CALCULATIONS:</b>   |              |   |            | \$1,000.00            |  |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |   |            |                       |  |
| TOTAL SHEETS  | EXTRA SHEETS | Number of each additional 50 or fraction thereof (round Up to a whole number) | Rate       |                       |  |
| - 100 =   | /50 =        |   | X \$250.00 |                       |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR §1.492(e)).   |              |   |            |                       |  |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA  | RATE       |                       |  |
| Total Claims  | 4- 20 =      |   | X \$ 50.00 |                       |  |
| Independent Claims  | 1- 3 =       |   | X \$200.00 |                       |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   | X \$360.00 |                       |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |            | \$1,000.00            |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |   |            |                       |  |
| <b>SUBTOTAL =</b>   |              |   |            |                       |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 C.F.R. §1.492(f)).   |              |   |            |                       |  |
| <b>TOTAL NATIONAL FEE =</b>   |              |   |            |                       |  |
| Fee for recording the enclosed assignment (37 C.F.R. §1.21(h)). The assignment must be accompanied by an appropriate cover sheet ((37 C.F.R. §§3.28, 3.31). \$40.00 per property.   |              |   |            |                       |  |
| <b>TOTAL FEES ENCLOSED =</b>  |              |   |            | \$1,000.00            |  |
|   |              |   |            | Amount to be Charged: |  |

- a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No. 12-1155 in the amount of \$1,000.00 to cover the above fees. Triplicate copies of this letter are enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1155. Triplicate copies of this letter are enclosed.

Customer Number: **00201**

NOTE: Where an appropriate time limit under 37 C.F.R. §§ 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. §§1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Respectfully submitted,

  
 Karen E. Klumas  
 Attorney of Record  
 Reg. #31,070

KEK/mt  
 (201) 894-2332